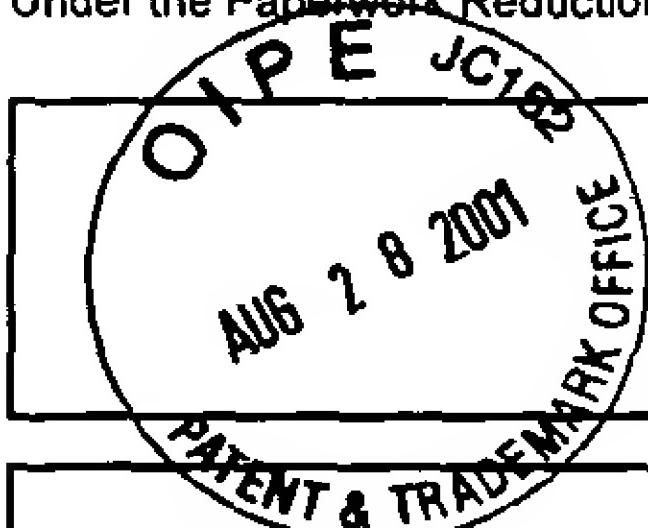


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**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

*Submit an original, and a duplicate for fee processing.*  
*(Only for Continuation or Divisional applications under 37 CFR 1.53(d))*

**CHECK BOX if applicable:**

**DUPLICATE**

<i>Address to:</i>	Assistant Commissioner for Patents Box CPA Washington, DC 20231	<i>Attorney Docket No. of Prior Application</i>	0652.1080001/EKS/PSC
		<i>First Named Inventor</i>	Max L. BIRNSTIEL
		<i>Examiner Name</i>	Nolan, P.
		<i>Group/Art Unit</i>	1644
		<i>Express Mail Label No.</i>	

This is a request for a  continuation or  divisional application under 37 CFR § 1.53(d),  
(continued prosecution application (CPA)) of the prior application number 08/380,200  
filed on January 30, 1995 entitled: New Protein-Polycation Conjugates

## *NOTES*

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR § 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice Changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR § 1.53(d), but must be filed under 37 CFR § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the application under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

*35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request. 37 CFR § 1.78(a).*

***Warning: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.***

1.  Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR § 1.116 in the prior nonprovisional application.

2.  Preliminary Remarks, with Exhibits A-C are enclosed.

3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR § 1.53(d)(4).

a.  ***DELETE*** the following inventor(s) named in the prior nonprovisional application:  
.....  
.....  
.....

b.  The inventor(s) to be deleted are set forth in a separate sheet attached hereto.

4.  A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.

5. Information Disclosure Statement (IDS) is enclosed:

a.  Form PTO-1449

b.  Copies of IDS citations

00000033 08380200  
8888  
710.00  
468.00  
270.00

[Page 1 of 2]

**Burden Hour Statement:** this form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.  
**DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

10 of 10

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REVIEWS

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Washington, D.

washington, D.C.

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR § 1.16(e) or (j))	46-20* =	26	x \$ 18.00 = 468.00	\$468.00
	INDEPENDENT CLAIMS (37 CFR § 1.16(b) or (i))	2-3** =		x \$ 80.00 = 0.00	0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR § 1.16(d))			x \$ 270.00 = 270.00	270.00
				BASIC FEE (37 CFR §1.16)	\$ 710.00
			Total of above Calculations =		1,448.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				
	* Reissue claims in excess of 20 and over original patent. **Reissue independent claims over original patent.		TOTAL =		

6.  Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 19-0036:
- a.  Fees required under 37 CFR § 1.16.      b.  Fees required under 37 CFR § 1.17.
- c.  Fees required under 37 CFR § 1.18.
8.  A check in the amount of \$2,338.00 is enclosed.
9.  Payment by credit card. Form PTO-2038 is enclosed.
10.  Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11.  New Attorney Docket Number, if desired \_\_\_\_\_  
*[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]*
12. a.  Receipt For Facsimile Transmitted CPA (PTO/SB/29A)  
b.  Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13.  Other: A Petition for the Extension of Time for the Parent Application

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.**14. NEW CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  New correspondence address below

Customer No. 26111

26111

Name	Sterne, Kessler, Goldstein & Fox P.L.L.C. PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Eric K. Steffe
Signature	
Registration No. (Attorney/Agent)	36,688
Date	8/28/01

[Page 2 of 2]

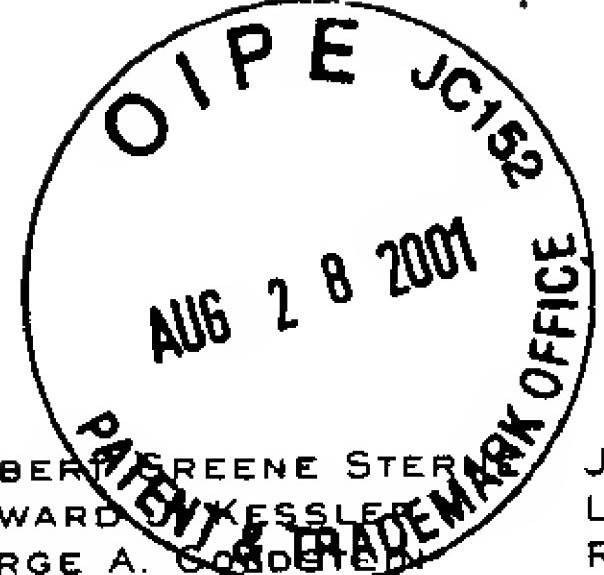
CPA/16448

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934

PHONE: (202) 371-2600 • FACSIMILE: (202) 371-2540 • [www.skgf.com](http://www.skgf.com)



ROBERT GREENE STERNE  
EDWARD KESSLER  
JORGE A. CONDESTEIN  
SAMUEL L. FOX\*\*\*  
DAVID K.S. CORNWELL  
ROBERT W. EDMOND  
TRACY-GENE G. DURKIN  
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MATTHEW J. DOWD\*\*  
TIMOTHY M. SPEER\*\*  
AARON L. SCHWARTZ\*\*

\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
\*\*REGISTERED PATENT AGENT  
\*\*\*SENIOR COUNSEL

August 28, 2001

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AUG 30 2001

TECH CENTER 1600/2900

Commissioner for Patents  
Washington, D.C. 20231

Box: CPA

WRITER'S DIRECT NUMBER:  
(202) 371-2625  
INTERNET ADDRESS:  
[ESTEFFE@SKGF.COM](mailto:ESTEFFE@SKGF.COM)

Re: U.S. Utility Patent Application  
Request for Continued Prosecution Application (CPA)  
Appl. No. 08/380,200; Filed: January 30, 1995  
For: **New Protein-Polycation Conjugates**  
Inventors: BIRNSTIEL *et al.*  
Our Ref: 0652.1080001/EKS/PSC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. CPA Transmittal Form PTO/SB/29 (*in duplicate*);
3. Preliminary Remarks, with Exhibits A-C;
4. Information Disclosure Statement;
5. Form PTO-1449 (1 sheet citing 1 document (AL1));
6. 1 document (AL1) cited in Form PTO-1449 attached;
7. A Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1) (*in duplicate*);

Commissioner for Patents  
August 28, 2001  
Page 2

8. Check no. 32371 in the amount of \$2,338.00 to cover:  
    \$710.00 Basic filing fee (37 C.F.R. § 1.16(a));  
    \$890.00 Extension fees under 37 C.F.R. § 1.136;  
    \$468.00 Fee for claims over 20;  
    \$270.00 Multiple dependent claim fee; and
9. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

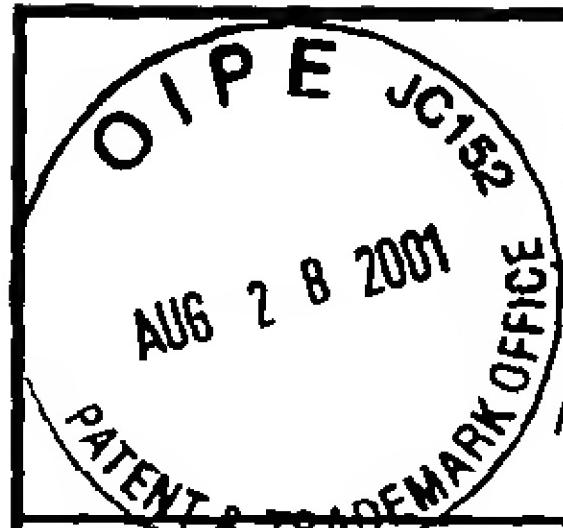


Eric K. Steffe  
Attorney for Applicants  
Registration No. 36,688

EKS/PSC/lam  
Enclosures

P:\USERS\PCchoi\0652\10801\pto\CPAPTO TRN

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$2,338.00)

## Complete if Known

Application Number	08/380,200
Filing Date	January 30, 1995 (CPA filed August 28, 2001)
First Named Inventor	Max L. BIRNSTIEL
Examiner Name	Nolan, P.
Group Art Unit	1644
Attorney Docket No.	0652.1080001/EKS/PSC

RECEIVED

AUG 30 2001

TECH CENTER 1600/2000

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036  
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

- Check  Credit card  Money Order  Other\*

\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	890.00
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify):					
Other fee (specify):					

SUBTOTAL (2) (\$ 738.00)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 890.00)

\*\* or number previously paid, if greater. For Reissues, see above

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Eric K. Steffe	Registration No. (Attorney/Agent)	36,688	Telephone	202-371-2600
Signature			Date	8/28/01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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